Organizational Culture Change in The United States Government and its Application in State and Local Public Health Agencies: A Literature Review

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Organizational Culture Change in The United States Government and its Application in State and Local Public Health Agencies: A Literature Review

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December 2019

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ORGANIZATIONAL CULTURE CHANGE IN THE UNITED STATES GOVERNMENT AND ITS APPLICATION IN STATE AND LOCAL PUBLIC HEALTH AGENCIES: A LITERATURE REVIEW

ABSTRACT

State and local health agencies play a critical role in the assessment and assurance of public health in the United States. Their authority to shape the structure and delivery of health services makes organizational culture change imperative for the improvement of government services and ultimately, the health of the public. A systematic literature review using SCOPUS, PsycINFO, Business Source, and Academic Search Premier was conducted to 1) analyze organizational culture change efforts in United States federal, state, and local government settings and 2) make recommendations on how to implement organizational culture change initiatives in state and local health agencies. Of 742 articles in the initial literature search, 17 articles were reviewed. Key findings show that state and local health departments may 1) utilize the NACCHO Roadmap for Quality Improvement, 2) improve horizontal integration through increased employee participation, 3) enact comprehensive approaches which thoroughly address all elements of organizational culture and 4) align and promote the new culture throughout the organization.
Introduction

Organizational culture has been a topic of increasing importance across industries as organizations seek to maximize productivity, increase employee satisfaction, and improve the overall function of their organizations. For the culture of an organization to change, the current culture must be accurately assessed, the desired culture must be identified, and a plan for change must be executed. Researchers have studied various organizational cultures and methods of change. United States government organizations have their own structure, purpose, and funding sources which call for a tailored approach to organizational culture change efforts. The purpose of this literature review is to 1) analyze organizational culture change efforts in federal, state, and local government settings and 2) make recommendations on how to implement organizational culture change initiatives in state and local health agencies. This report will not seek to compile evidence to determine the ideal state of organizational cultures in United States government organizations as this is a larger question dependent on agency type and purpose. US government agencies represent a large, diverse body of services and employees. This review seeks to identify commonalities that apply to state and local health agencies. Findings may or may not be apply to other US government agencies.

Background

Organizational Culture

Organizational culture is comprised of the artifacts, tacit beliefs, values, assumptions and behaviors of an organization’s employees (Cummings & Worley, 2015; Groysberg, Lee, Price, & Yo-Jud Cheng, 2018). This often reflects the organization’s norms and implicit understandings of operations. It is the shared perspective of ‘how we do things around here.’ Figure 1 illustrates
Organizational culture is a fundamental driver of performance and acts as the framework for which decisions are made, employees experience their jobs, and the overall effectiveness of the organization (Swensen & Mohta, 2019). The terms organizational culture and culture will be used interchangeably throughout this review.

Organizational culture is unique to each organization and acts as a powerful determinant of group behavior. Its components are difficult to tease apart due to the social and emotional components of culture (Schraeder, Tears & Jordan, 2005). According to George Gordon (1991), organizational culture is a product of successfully adapting to the environment and thus will naturally resist change. Based on this viewpoint, change in the environment might necessitate a change in the culture.

There is substantial evidence of the impact of organizational culture on process, employees, and performance (Shahzad, Luqman, Khan, & Shabbir, 2012). There is a widely held belief that positive organizational culture is critical to improved quality, performance, and patient outcomes within health care contexts (Braithwaite, Herkes, Ludlow, Testa, & Lamprell, 2017). Culture can be examined through many lenses including business profitability, employee satisfaction, customer satisfaction, and quality. Most commonly, culture is viewed through employee behavior. Does the organization operate under a culture of fear, pressure, and
adherence to the status quo? Or does the organization establish a transparent culture that values trust, respect, and growth?

Organizational culture is considered strong when the beliefs and values of employees are closely aligned with the mission and vision of the organization. Weak cultures are loosely knit and allow for gaps in employee objectives and the goals of the organization (Shazad et al., 2012). Standard norms can vary based on industry type. For example, innovative industries, like technology, might benefit from cultures of creativity, willingness to collaborate, and courage to try new approaches, whereas the United States military requires a stricter code of conformity and adherence to protocols to execute tasks with precision. Due to the nature of groups to adopt common assumptions, beliefs, and values, culture change is a worthy goal to advance the overall mission of an organization.

Organizational Change

Dean Anderson and Linda Ackermann Anderson (2010) describe three types of organizational change. Developmental change refers to incremental change in skills or a process that improves procedures and processes. Transitional change is a planned, episodic change which might substitute one strategy for another. Transformational change is an ongoing, adaptive, and challenges an organization’s underlying assumptions. Organizational culture change is a transformational change that requires efforts to be persistent and dynamic.

United States Government Organizational Culture

Public sector organizations are predominantly hierarchical in structure, especially compared to private organizations which have more variation in structure and leadership style. The federal government consists of the legislative, executive, and judicial branches. They are tasked with interpreting, defending, enacting, enforcing, and developing laws. State and local
governments are responsible for powers not granted to the federal government based on individual state constitutions and municipal policy (The White House, 2019). Federal, state, and local agencies are organized top-down, with each department level answering to the higher administration. Typically, government organizations enact command-and-control policies and are highly siloed (Morgan, 2006). The hierarchical structure tends to create risk-averse cultures that focus more on stability, control, rules, and procedures rather than flexibility, innovation, and trying new ways of doing business (Drumm, 2012).

There are several key considerations when approaching culture change initiatives in the public sector that are summarized in Table 1. Resource availability is often more restricted compared to private organizations. Efficiency is an issue and often slows down the pace of operation and implementing changes. For government organizations, the pressure to improve efficiency and operate under increased public scrutiny adds additional pressures for both process and outcome objectives (Schraeder et al., 2005). Different external environmental characteristics such as political agendas, existing policy, allocated funding, and appointed leadership within government organizations shape the goals and expectations of public sector organizations.

<table>
<thead>
<tr>
<th>Function</th>
<th>Private organizations</th>
<th>Public sector organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Decision making</td>
<td>Depends on organization structure, but is becoming more participatory/team oriented</td>
<td>Within department: often autocratic legislative/policy level: democratic</td>
</tr>
<tr>
<td>General policies and communication</td>
<td>Becoming less policy driven and more results driven</td>
<td>Very structured and rules oriented</td>
</tr>
<tr>
<td>Personnel management</td>
<td>Depends on organization structure with larger organizations having certain functions centralized and others decentralized</td>
<td>Hybrid of elected officials, appointed officials and employees who are hired through traditional methods</td>
</tr>
<tr>
<td>Materials procurement</td>
<td>Most successful organizations develop strong relationships with suppliers to promote lower costs and more efficient delivery. Just-in-time supply agreements are not uncommon</td>
<td>Bids and contracts which often take longer and do not always result in the most efficient outcome</td>
</tr>
<tr>
<td>Financial management</td>
<td>Major functions are managed at corporate level with appropriate authority to make financial decisions often delegated to division or function level</td>
<td>Method may vary based on department and jurisdiction. Lack of consistency can create havoc in obtaining cross-department/cross-agency information</td>
</tr>
<tr>
<td>Marketing</td>
<td>Very competitive, prompting numerous organizations to develop competitive intelligence programs</td>
<td>The presence of few or no competitors results in sparse marketing efforts. However, public organizations do have multiple stakeholders</td>
</tr>
</tbody>
</table>

Table 1: Functional Idiosyncrasies Between Private and Public Sector Organizations (Schraeder et al., 2005).
Michelle Drumm (2012) points out that public sector organizations are typically less focused on market factors and more focused on political agendas or stakeholder interests. This naturally causes public sector organizations to be more reactive (instead of proactive) to political activity and policy. Compared to private sector organizations, public sector employees tend to have a strong alignment with social values (Greasley, Watson & Patel, 2009). This ‘public sector ethos’ which motivates employees is often embedded to some degree in the culture of government organizations.

**Government Barriers to Culture Change**

Across industries, government organizations struggle with a lack of vision, poor strategy, lack of commitment, poor leadership skills, poor operational planning, and lack of adequate metrics to track achievement (Drumm, 2012). Additional barriers specific to these organizations include the following:

- Positional leaders are often selected based on their command of policy and technical expertise. Investment in operational leadership skills is not always prioritized in these leaders.
- Agency officials often have a limited amount of time to implement change. It is tempting to select policy reform that can be implemented quickly during their time in the position.
- Bureaucratic rules that were originally designed to prevent overreach or wrongdoings inhibit flexibility and lead to risk-averse cultures. Failure is penalized whereas rewards for exceptional performance are less likely to be acknowledged meaningfully. Risk aversion can also be attributed to the immense responsibility of the mission to improve the quality of lives of individuals and communities.
Everyone has a rightful stake in public activities. Oversight can impinge agile change efforts as there is bound to be disapproval from internal sources, public-interest groups, media, or the community.

- Short-term budgets often limit long-term planning.
- The public sector tends to lack operational leadership skills that complement dynamic, transformational leadership styles. The hierarchical model of the public sector is not typically conducive to this way of thinking.

**State and Local Health Agencies**

The US public health system relies on the activities and partnerships of federal, state, and local levels. Eileen Salinsky (2010) explains the structure and responsibilities of state and local health agencies in the National Health Policy Forum report and summarized in Table 2. The coordination and responsibility taken on by government agencies vary by state and localities. Federal policy influences public health practice, but rarely dictates state and local public health practice. Every state and the District of Columbia have their own state health agency. States are the primary authorities for public health in that they have a broad capacity to define their authority, determine breadth and depth of government services, and decide how services are to be organized, financed, and delivered. Some health agencies are singularly focused on public health services. Other agencies offer additional resources related to health care (including Medicaid administration), long-term care, social services, or insurance regulation. The variation

<table>
<thead>
<tr>
<th>Table 2: Public Health Activities (Salinsky, 2010)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>State Public Health Agencies</strong></td>
</tr>
<tr>
<td>• Disease surveillance, epidemiology, and data collection</td>
</tr>
<tr>
<td>• Laboratory services</td>
</tr>
<tr>
<td>• Preparedness and response to public health emergencies</td>
</tr>
<tr>
<td>• Population-based primary prevention</td>
</tr>
<tr>
<td>• Health care services</td>
</tr>
<tr>
<td>• Regulation of health care providers</td>
</tr>
<tr>
<td>• Other regulatory activities</td>
</tr>
<tr>
<td>• Environmental health</td>
</tr>
<tr>
<td>• Administration of federal public health programs</td>
</tr>
<tr>
<td><strong>Local Public Health Agencies</strong></td>
</tr>
<tr>
<td>• Clinical prevention</td>
</tr>
<tr>
<td>• Medical treatment and other personal care services</td>
</tr>
<tr>
<td>• Population-based interventions</td>
</tr>
</tbody>
</table>
in service offerings by states has created fragmented, inconsistent functions and structures of public health agencies across the nation. The complexity of services requires cooperation with sister agencies in state government or community partnerships or contracts.

Salinsky (2010) goes on to describe local health agencies and their role in direct operational responsibility for public health services. Local health agencies vary in size, service offerings, and structure. In 2016, there were a total of 2,795 local health departments and 312 regional or district offices (ASTHO, 2017).

As seen in Figure 2, state and local health department governance can be described as decentralized, centralized, or a hybrid (mixed) relationship. Decentralized local public health agencies are led by local authorities and are organizationally independent by the state agency.

Centralized local public health agencies rely on state government administration. Hybrid or mixed models allow for state agencies to administer public health activities in jurisdictions without a local health agency while other local jurisdictions operate decentralized agencies (primarily in metropolitan areas). In 2016, 58 percent of state public health agencies were independent and 42 percent were part of a larger umbrella organization (ASTHO, 2017). Echoed on both the state and local levels, variations in size, structure, and services offered by public health agencies create challenges in delivering congruent services that meet the needs of their communities. A 2002 Institute of Medicine report states “there are no data to suggest what an ‘ideal’ state and local agency governance structure
might be. Thus, it would be important for state agencies to examine their present governance structures and evaluate mechanisms to make these structures more effective (IOM, 2002, p. 8.)”

The autonomy of state and local health agencies to determine how to effectively deliver public health services makes them preferred settings to identify ideal qualities of organizational cultures and implement strategies for organizational culture change.

The Future of Government Public Health

Public health is having to adapt to the plethora of forces driving change in the US healthcare system. Urgent threats including antibiotic resistance, prescription drug misuse, and global health security along with decreased funding for these concerns require the public health workforce to rise to the complex demands using evidence-based solutions and effective use of partnerships and resources (Coronado, Koo & Gebbie, 2014). The workforce needs to be able to provide the expertise and work necessary to accomplish the goals of public health organizations.

In September 2016, State Health Officials’ average tenure was 2.7 years with an average of 11.8 years of public health experience before securing the position (ASTHO, 2017). The number of FTEs for the public health workforce is decreasing, largely due to decreases in funding and direct services provision and increases to funding distributed as pass-throughs and grants or contracts to third parties (which could include local health departments). The average state agency has 14 percent of its positions vacant. It is anticipated that by 2020, the percentage of health agency employees eligible for retirement will increase from 17 percent to 25 percent (ASTHO, 2017).

Data from the 2017 Public Health Workforce Interests and Needs Survey (PH WINS) showed that the government public health workforce is highly engaged and satisfied with their jobs with 81 percent replying they were somewhat or very satisfied with their job and 70 percent somewhat or very satisfied with their organization (de Beaumont Foundation & ASTHO, 2017).
However, nearly half of the workforce surveyed indicated they were considering leaving their agency in the next five years with 25 percent of those being reasons other than retirement. This is a 41 percent increase in employees planning to leave their job since 2014. Primary reasons for considering leaving included inadequate pay, lack of opportunities for advancement, the workplace environment, job satisfaction, and lack of support. The survey anticipates the already underrepresented populations of millennials and those with public health degrees are anticipated to leave the workforce in large numbers (de Beaumont Foundation & ASTHO, 2017).

**Enacting Change in State and Local Health Agencies**

A broad shift towards systems-thinking approaches to public health and public service delivery calls for adapting organizational cultures to meet the needs of communities in the US and build the public health workforce. The inability to adjust to rapidly changing environmental factors impacting health service cost, quality, and access can lead to increased turnover in management and eventual erosion of public and private confidence in public sector organizations (Valle, 1999). The predominant hierarchical structure of government organizations calls for culture change initiatives designed for their current structure and ability to overcome their unique challenges.

**Methods**

**Search Strategy**

A literature search was conducted to examine overall trends of organizational change within United States government organizations. Database search efforts were coordinated by librarian Christian Minter of the University of Nebraska Medical Center’s McGoogan Library of Medicine. The search was conducted in four academic databases: SCOPUS, PsycINFO, Business Source, and Academic Search Premier. Keywords for the search were identified through
reviewed article titles and abstracts of initial searches in Scopus and Google Scholar along with controlled vocabulary terms in PsycINFO. The following keywords and phrases were included in the search: organizational culture, organizational behavior, workplace culture, professional culture, change, changing, transformation, improvement, innovation, reform, government, governmental, United States, and names of all 50 states and the District of Columbia. The search criteria are described in detail in the Appendix. Additional grey sources were obtained through Google searches and available academic course material.

**Study Selection Criteria**

Included articles had to be specific to government organizations in the United States. Sources are exclusively from the United States to limit the scope of research to organizations bound by the same structure, political priorities, and ability to mesh with societal norms. It is unclear if governmental culture change research from other countries would be effective in US government organizations based on their different internal and external environments. Selected articles had to have the primary purpose of addressing one or more of the following: change organizational culture, change fundamental elements of organizational culture, evaluate the importance or perceptions of elements of organizational culture, address barriers to organizational culture change. To review the most relevant sources, the search was limited to publications in English from 2000 to 2019. Articles, essays, and literature reviews published in peer-reviewed journals were included along with resources from credible public health organizations. Exclusion criteria included dissertations, military and police research, government oversight of private organizations, articles in languages other than English, studies that exclusively took place outside of the United States, and studies that took place exclusively in private or nonprofit organizations.
Data Extraction

The following characteristics from included studies were extracted: government level, current description of government setting, intervention description, theories or framework used, results, and relevant descriptive data or recommendations.

Quality Assessment

Articles were distinguished by their use of primary or secondary data (from prior research or publicly available data) and the method of data collection. The research was further categorized by single data collection or repeated data collection in Table 3.

<table>
<thead>
<tr>
<th>Authors</th>
<th>Level</th>
<th>Publication Type</th>
<th>Type of data collected</th>
<th>Primary data collection protocol</th>
<th>Repeated Data Collection?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Attmore, R.H. Hancox, D.R. (2016)</td>
<td>State</td>
<td>Peer-reviewed journal article</td>
<td>Case Study</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Jacobson, W.S. (2011)</td>
<td>Federal</td>
<td>Peer-reviewed journal article</td>
<td>Primary</td>
<td>Self-report (interviews and surveys)</td>
<td>No</td>
</tr>
<tr>
<td>Lindberg, A. &amp; Meredith, L. (2012)</td>
<td>Local</td>
<td>Peer-reviewed journal article</td>
<td>Case Study</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Thompson, D.F (2014)</td>
<td>Federal</td>
<td>Peer-reviewed journal article</td>
<td>Case Study</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Boyd, N.M. (2011)</td>
<td>State</td>
<td>Peer-reviewed journal article</td>
<td>Primary</td>
<td>Self-report (survey)</td>
<td>No</td>
</tr>
</tbody>
</table>
Results

Search Results

The initial search of the four databases generated a combined total of 742 articles. Screening of titles led to exclusion of 550 irrelevant titles. 192 abstracts were screened and after application of inclusion and exclusion criteria, 17 sources were included in the analysis. Selected sources fell into four categories: 1) Reports exploring organizational change theories, 2) Evaluations or perceptions of certain types of organizational cultures or one of its critical elements, 3) Case studies providing examples of organizational change and practical recommendations, and 4) Recommendations from credible public health leadership organizations. In total, the following were reviewed: 14 peer-reviewed articles, 1 essay, 1 literature review, and 1 NACCHO Roadmap were reviewed. The most common reason for sources to be excluded was a failure to examine a topic at an organizational level or research taking place outside of government settings. For example, a source with a purpose of improving quality or performance might make recommendations for leadership or management, but fail to expand further to the organizational culture or climate.
Summary of Findings

The current state of government. In the United States, government organizations vary in complexity, size, location, populations they serve, academic affiliations, services offered, and management styles (Korom-Djakovic, Canamucio, Lempa, Yano & Long, 2016). Public and private organizations are trying to adapt to increasing political, economic, and social unrest. Governments are having to do more with less (Everly, Smith & Lobo, 2013). Government organizational cultures are overwhelmingly hierarchal, top-down (also described as vertically structured), siloed, and prioritize command-and-control (Ruijer & Huff, 2016; Lindberg & Meredith, 2012). Power is unbalanced and management is largely uncoordinated (Laurian, Walker, & Crawford, 2017). Employees are unable to fully trust their administration due to unpredictable changes in leadership (Lindberg & Meredith, 2012). In their most traditional form, government organizations can have very negative cultures which place process and procedures over results. The focus on rules along with norms of passing responsibility onto others makes for risk-averse cultures (Attmore & Hancox, 2016; Laurian et al., 2017).

Lack of transparency and information sharing with internal and external constituents is an issue (Lindberg & Meredith, 2012; Ruijer & Huff, 2016). This is due to many factors including culture, administrative priority, and limited capacity or utilization of information-sharing technology. Government failures, in part, are due to the difficulty of assigning responsibilities in organizations where many individuals contribute to decisions and policies. This lack of explicit responsibility limits accountability (Thompson, 2014). Governments steeped in their traditional way of doing things might lack evidence-supported practices or practicality (Lindberg & Meredith, 2012). Knowledge transfer is an issue within the organization and communities (Román, Ribière, & Stankosky, 2004; Lindberg & Meredith, 2012; Ruijer & Huff, 2016).
Government organizations are ahead of many industries in establishing a diverse workplace. However, there are still barriers in the workplace that prevent employees of different backgrounds from contributing or benefiting their organization to the fullest (Moon, 2016).

**Relevant theories.** Cameron and Quinn’s (1999) Competing Values Framework was the most universally referenced theory for describing or changing elements of organizational culture. Shown in Figure 3, the majority of existing government organizational cultures in the US can be described as a Hierarchy. Formalized chains of command and rules create stable, controlled environments. Most desirable organizations for US government organizations shifted towards Adhocracy or Market structures. External environmental factors and community partnerships are of greater importance. Government organizations need improved creativity and agility to adapt to rapidly changing political and social needs and priorities. Stability and control are giving way to flexibility and autonomy.

The idea of changing the values and behaviors of an entire organization and all of its employees might seem daunting or even impossible for strained government organizations. Focused, incremental progress can create momentum which gradually creates lasting change. Malcom Gladwell’s (2000) “Tipping Point” Theory stood out as a practical
recommened method of change in government organizations (Everly et al., 2013). The theory relies on carefully selected, influential members of the organization to catalyze change efforts throughout the many levels of an organization. Operationalized through a style of resilient leadership that embraces adversity as an opportunity, leaders are selected based on their 1) credibility, 2) informational conduits (i.e. a supervisor or manager), and 3) willingness to promote the success of others through collaboration. Everly et al. (2013) estimate the “tipping point” of culture change would need to be approximately 20 percent of the population practicing resilient leadership.

**Trends in organizational culture change.** To meet the increasing demands of rapidly changing, interconnected societies, there have been trends in government organizational culture change. Increasingly, US government organizations desire cultures which improve accountability, transparency, and prioritize results over rules. The traditional Hierarchy structure is being actively pushed towards a Market structure which places more focus on external demands and competition. There was a variety of desirable cultures which are summarized in Table 4.

<table>
<thead>
<tr>
<th>Desirable Culture</th>
<th>Authors</th>
<th>Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td>Open government</td>
<td>Ruijer &amp; Huff, 2016</td>
<td>Governments that value transparency, participation, and collaboration</td>
</tr>
<tr>
<td>Quality improvement culture</td>
<td>Korom-Djakovic et al., 2016</td>
<td>Improved quality and efficiency of teams involving processes, the balance of competing demands and stress, adequate resources and staff support, and communication and cooperation among staff</td>
</tr>
<tr>
<td>Learning organization or culture</td>
<td>Atmore &amp; Hancox, 2016; Lindberg &amp; Meredith, 2012</td>
<td>Organizations that prioritize relevance, nimbleness, and effectiveness to adapt to changes in the environment</td>
</tr>
<tr>
<td>Resilient culture</td>
<td>Everly et al., 2013</td>
<td>An atmosphere which promotes growth, supports employees, and views crisis as an opportunity</td>
</tr>
<tr>
<td>Ethical environments</td>
<td>Hijal-Moghrabi, Sabharwal &amp; Berman, 2017</td>
<td>Organizational which safeguard against ethical failure through environments of integrity, trust, and well-defined values, codes, and standards</td>
</tr>
<tr>
<td>High-performance organizations</td>
<td>Hijal-Moghrabi et al., 2017</td>
<td>Organizations which achieve high productivity, innovation, employee and customer satisfaction,</td>
</tr>
</tbody>
</table>
While organizations articulate different organizational goals, there are many commonalities. Internally, government organizations are emphasizing vertical and horizontal integration (Ruijer & Huff, 2016; Laurian et al., 2017; Lindberg & Meredith, 2012). Greater horizontal integration requires a voice to be given and heard by employees at all levels. Accountability is of increasing value and requires transparency throughout the organization and with external stakeholders. More robust information sharing is required in both organizational structure and technology infrastructure.

**Critical elements of organizational culture.** Some of the research focused on evaluating or changing critical elements of organizational culture. Leadership was of utmost importance, but not in the traditional sense where top administrators make-or-break organizational culture change. Transformational and resilient leadership styles require organizations to empower leadership at all levels of the organization. Everly et al. (2013) describe an organizational culture of resilience as having an atmosphere or climate where growth is promoted, support is abundant, and crisis is seen as an opportunity. Transformational leadership is largely informed by resilience. Transformational leadership’s core dimensions are an idealized influence, inspirational motivation, intellectual stimulation, and individualized consideration (Moon, 2016). These values push against the fixed hierarchal structure with an energized attitude of solving complex problems through improved collaboration.

Employees of a successful culture change initiative reported valuing their leader’s ability to listen, observe, and engage employees across horizontal and vertical levels of the organization.
They cited persistence and energy as being necessary qualities to the successful adoption of a new culture (Lindberg & Meredith, 2012).

Motivation was found to be dynamic over time. Willow Jacobson (2011) interviewed government employees to understand how organizations can enhance and develop public service motivation. Findings showed that most government employees chose careers in the government for more pragmatic reasons like stability, income, flexibility, and availability. A sense of pride and altruism towards government service generally grew as time within the organization increased. While a sense of public service was rarely critical for employees to select a government job, it did contribute to retention and performance. Some employees described their dependence on pension plans, high salaries, and specialization of the job as “golden handcuffs.” While this mindset retains employees, it is hardly motivating. Jacobson (2011) argues that while recruitment based on public service motivation might limit the pool of applicants, this motivation can be cultivated by organizational cultures which help individuals understand the link between their actions and the mission and purpose of the organization.

**Performance improvement.** Some sources examined the link between organizational culture and performance improvement. Performance improvements were measured in a variety of ways including quality, efficiency, and effectiveness of agency operations. Boyd (2011) examined the link between organization development and social change. Specifically, can changes in organizational development improve government efficiency and effectiveness of service delivery? The study found that increased participation at the individual and organizational level were positively correlated with customer service outcomes. Additional customer service improvements were associated with increased teamwork, training about organizational development, job skills, and performance.
Two studies investigated how to create quality improvement cultures in public health agencies. Davis, Mahanna, Joly, Zelek, et al. (2014) observed agencies that were engaging in a series of formal or informal quality improvement projects or attempting to create a quality improvement culture. Agencies conducting formal quality improvement projects and creating a quality improvement culture had more leadership support, participated in national quality improvement initiatives, had a greater number of staff trained in quality improvement and had teams dedicated to quality improvement with decision-making authority. Agencies working towards a culture of quality improvement were more likely to have a history of evidence-based decision-making and use quality improvement to address emerging issues. Accreditation was found to be a driver for quality improvement activities. Gyllstrom, Gearin, Frauendienst, Myhre et al. (2015) found that in local health departments, organizational quality improvement maturity was strongly associated with the Statewide Health Improvement Plan (SHIP) grantee performance. Local health departments were more likely to be rated as “exceeding expectations” if they had high-quality improvement maturity, effective leadership, efficient decision-making, and successful regional or cross-jurisdictional partnerships.

Examples of organizational culture change in case studies. The aforementioned literature findings describe elements of government organizational culture and frame approaches to organizational culture change. Case studies found in the review give examples of demonstrated change efforts within government organizations. In each case study, the leader or organization worked to embed certain attributes or strategies into their desired culture.

Openness. Ruijer and Huff (2016) examined the impact of organizational culture on open government reforms through an exploratory qualitative study including document analysis and a case study. Five federal government agencies were evaluated based on transparency,
ORGANIZATIONAL CULTURE CHANGE IN THE UNITED STATES GOVERNMENT AND ITS APPLICATION IN STATE AND LOCAL PUBLIC HEALTH AGENCIES: A LITERATURE REVIEW

participation, and collaboration. Findings showed that each agency recognized a culture of openness is important. The agencies focused on connecting vision and voice with access to information and technology, primarily with external stakeholders. Less attention was paid to organizational change within the agency. The US Office of Personnel Management case study successfully demonstrated culture change through a network approach. The network facilitated improved communication and collaboration across traditional boundaries. Improved internal horizontal openness contributed to newfound openness.

*Framing core values and supportive structures.* Laurian, Walker, and Crawford (2017) surveyed 217 city and county planners and managers sought to tease out organizational features and their impact. Their proposed framework that environmental sustainability implementation in local government organizations is affected by 1) prioritization and framing of sustainability as core value, 2) organizational culture and its political support for innovation and consensus building, 3) organizational structure as the degree of vertical and horizontal integration within the agency, 4) interactions among these elements, and 5) the local and supra-local contexts in which local government organizations operate. Horizontally and vertically integrated organizations supported innovation adoption and consensus building; two critical dimensions of organizational culture. This supports the development of organizational cultures of interdepartmental cooperation, informational sharing, and trust between hierarchal levels of government organizations.

*Training and leading by example.* Schraeder et al. (2004) illustrate an example of a public sector leader taking on cultural change in a department that lacked motivation, vision, and trust using Schein’s (1992) culture embedding mechanisms: 1) What leaders attend to, measure, and control, 2) How leaders react to critical incidents and crises, 3) Observed criteria for allocation of
limited resources, 4) Deliberate role modeling, teaching, and coaching, 5) Observed criteria for allocation of rewards and status 6) Observed criteria by which leaders hire, promote, and terminate employees. The leader made an effort to change visible artifacts of the organizational structure, establishing a mindset of teamwork through regular team meetings and training events, and leading by example by attending all organizational change activities and taking responsibility publicly when errors occurred.

Change management. Attmore and Hancox (2016) discuss the organizational culture change process in the Bureau of State Expenditures. Changes were clearly articulated and reinforced through weekly meetings to train staff and maintain momentum. Resistance to change from managers was overcome by recruiting problem-solving efforts from lower-level staff. Those that did not get on board with changes were terminated. Hiring was based on compatible attitudes, enthusiasm, motivation, professional skepticism, and work ethic. Leaders demonstrated resilience when employees questioned their vision and competence through whisper campaigns attacking their credibility. Overall, consensus building was achieved as the supportive culture grew. Auditors were more empowered to work autonomously and the value of learning remained a fixture of organizational culture. The culture continued to develop as employee advancement continued and pride in the work surged.

Learning. Lindberg and Meredith (2012) discuss the transformation of organizational culture in the Marin County Health and Human Services Department. The agency director wanted to establish vertical and horizontal communication, empower frontline staff, improve cross-department problem solving, and implement evidence-based practices. An outside consultant was hired to facilitate the change to a learning organization. Communication was encouraged vertically and horizontally through cross-divisional working teams that empowered
frontline level staff. Silos were reduced and knowledge sharing increased significantly. The initiative saw the development of innovative solutions and the implementation of evidence-based practices. The executive team had to relearn their role in the organization and gave up much of their decision-making authority to allow for a more horizontal structure. The initiative benefited from persistent, humble, high energy leadership from their director. The team at Marin County focused on establishing a foundation of culture change instead of trying to do everything in one big push. They made sure not to become too dependent on the external consultant to sustain change. Teams maintained boundaries by keeping the organizational mission within the context of work. They also measured performance measures throughout the change process. Leaders were empowered at all levels, improving organizational performance and employee curiosity and excitement.

**Practical tools.** Carleton (2015) discusses using the Defense Advanced Research Projects Agency’s (DARPA) Hard Test as a tool to assess and cultivate readiness for organizational culture change. The four dimensions determine if organizations are achieving visionary thinking and how the enhanced vision changes culture. Ideas must be 1) Far-reaching, 2) Technically challenging, 3) Multidisciplinary, and 4) Actionable to be considered “DARPA Hard.” This test assists in involving everyone across to engage multiple viewpoints, fosters long-term mindsets, creates team ownership, takes a holistic view, and communicates change.

The National Association of County & City Health Officials (NACCHO) has created a “Roadmap to a Culture of Quality Improvement” to be used for local health departments. Shown in Table 5, the roadmap offers guidance on progressing through six phases of quality improvement integration to achieve and sustain a quality improvement culture. Each phase offers common organizational characteristics, strategies, and resources for progress. The roadmap
ORGANIZATIONAL CULTURE CHANGE IN THE UNITED STATES GOVERNMENT AND ITS APPLICATION IN STATE AND LOCAL PUBLIC HEALTH AGENCIES: A LITERATURE REVIEW

addresses three phases of change management: preparing, transitioning, and institutionalizing.

NACCHO describes the six foundational elements of a quality improvement culture to be 1) Leadership commitment, 2) Quality improvement infrastructure, 3) Employee empowerment, 4) Customer focus, 5) Teamwork and collaboration, and 6) Continuous process improvement. Furthermore, the roadmap distinguishes between approaches towards the “human” side of change and the “process” side of change. The “human” considerations address interpersonal aspects that affect leadership and employee attitudes, beliefs, communication, and motivations. The “process” considerations address formal and informal structures of behavior, policy, and procedures. The phase progressions are tied to the Public Health Accreditation Board criteria for Quality Improvement.

<table>
<thead>
<tr>
<th>Foundational Element</th>
<th>“Human” Side of Change</th>
<th>“Process” Side of Change</th>
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| Leadership           | • Is there senior leadership and middle management buy-in to QI?  
|                      | • Are leaders trained in change management and quality management?  
|                      | • What is the organizational leadership style?  
|                      | • How does leadership communicate about QI?  
|                      | • Do leaders serve as good QI role models and mentors for employees?  
|                      | • Do leaders continuously assess and address employee resistance to QI?  
|                      | • Is there a process to hold employees accountable to QI?  
|                      | • Are adequate resources dedicated to building a quality culture?  
|                      | • Do leaders have a clear vision for the future culture of quality?  
|                      | • Do leaders engage in data driven decision making?  
|                      | • Have leaders adopted organizational policies and plans that support a culture of quality?  
| Employee Empowerment  | • What are sources of employee resistance against QI?  
|                      | • Do employees have the necessary knowledge, skills, and abilities (KSAs) to engage in QI?  
|                      | • Do employees understand why quality is important to public health and their job specific duties?  
|                      | • Are employees incentivized and rewarded for QI activities?  
|                      | • How do employees account for time dedicated to QI?  
|                      | • Is QI incorporated into position descriptions?  
|                      | • Is QI incorporated into the employee performance appraisal process?  
|                      | • Do employees have the necessary autonomy or authority to make process improvements?  
|                      | • Is there a process for employees to formally nominate and/or initiate QI projects?  
| Customer Focus       | • Do staff value the customer and understand the importance of ensuring customer satisfaction?  
|                      | • Is there a process for assessing customer needs and satisfaction?  
|                      | • Is customer satisfaction data collected and used for process improvements?  |
**Teamwork & Collaboration**
- Do staff have the KSAs to meet customer needs?
- Are customer needs appropriately considered during decision making?
- Are formal and informal mechanisms in place for employees to collaborate and share?
- Is the organization’s physical space conducive to collaboration?
- Is there a process to form and disband teams, as needed?
- How is team performance monitored?
- Do employees feel comfortable collaborating within teams/programs and across departments/divisions?
- Are individual team member voices valued and respected within organizational teams?
- Are teams effective at conflict resolution?
- How often do employees convene for the purposes of problem solving and innovation?
- Are employee voices valued and respected within organizational teams?
- Are teams effective at conflict resolution?
- How often do employees convene for the purposes of problem solving and innovation?

**QI Infrastructure**
1. Are employees engaged in the development of a performance management system?
2. Do employees understand how their work and performance measures link to the strategic plan?
3. Do employees have the KSAs to monitor and track performance?
4. How is organizational performance being measured?
5. What is the organizational QI planning process?
6. Are organizational plans aligned (e.g. CHIP, strategic plan, QI plan, operational plans)
7. What technology is used for performance management?

**Continuous Process Improvement**
- Do employees have the KSAs to implement QI projects?
- Do employees have a voice in the QI nomination/selection process?
- Are QI successes recognized and celebrated?
- How are QI projects selected?
- What QI methods are used in the organization?
- How are QI projects documented?
- How are improvements monitored and sustained?
- Do employees continuously question how processes can be improved?

**Discussion**

US government organizations are attempting to change from traditional hierarchal cultures towards a more adaptive, horizontally integrated, and market-focused cultures. Researchers and practitioners are experimenting with new strategies to improve the adaptability of government organizations. However, these complex problem-solving strategies for culture change initiatives are primarily being disseminated and practiced within the traditional hierarchal structure of government organizations. The top-down, siloed structure of government organizations and systematic barriers to accountability inhibit the full integration of new leadership styles, management strategies, and innovative processes at the organizational cultural level.
Organizational change efforts in federal, state, and local government settings illustrate that the hierarchical structure can be altered with emphasis placed on horizontal integration. While stimulating change is challenging, once it occurs it must be embedded into the culture to sustain. State and local health agencies need to empower frontline staff to practice leadership. Organizations that improve accountability, transparency, and communication throughout the organization have demonstrated their success in changing their culture. Government organizations must take responsibility, hold its members accountable, embrace learning, cultivate resiliency, and invest in their employees across all levels. To improve problem-solving and employee engagement, transformational leadership is needed. Organizations that establish cultures of resilience and learning can respond to the complex need of communities in the United States. Once change occurs, the organization must embed it into the organizational culture. Leaders must be committed to change efforts to overcome resistance to change and disruptions in implementation. Influential members of the organization must be empowered to lead from every level to “tip the scales” towards the new, desired cultural elements.

**Public Health Implications**

The complexity of delivering government services is increasing with economic, political, and social pressures. State and local health departments can maximize their employee engagement and enhance government services when organizational cultures are aligned closely with the mission and vision of the organization. More effective and efficient public health agencies will increase their capacity to monitor and improve the health of communities in the United States.
Recommendations

The knowledge and expertise of internal members of the organization are critical to the success of adopted organizational culture change. Leaders should approach culture change efforts with humility, openness, and a wealth of enthusiasm. While the desired culture for state and local health agencies might look different across the United States, all agencies should embrace change as a new norm to quickly respond to the uncertain future of public health. State and local health agencies should consider the following recommendations when considering their organizational culture change initiatives:

1. Utilize the NACCHO Roadmap

The NACCHO Roadmap is a remarkable tool for culture change that should be utilized. The emphasis on Quality Improvement is practical for public health agencies. However, state and local health departments must consider that there could be other areas of organizational culture (in addition to quality improvement) that could enhance employee motivations and behaviors. The NACCHO Roadmap offers a framework for preparation, transitioning, and institutionalizing the changes that will be most beneficial to public health agencies. Additional resources are provided to move from each phase of change.

2. Horizontal Integration & Employee Participation

Valuable strategies like Quality Improvement initiatives are being disseminated and practiced within the traditional hierarchy of government environments which inhibits the full integration of new leadership styles, management strategies, and innovative processes at the cultural level. Horizontal integration needs to be a priority to enhance communication and information sharing throughout the organization. This can be accomplished by creating cross-departmental teams to improve employee participation. By including everyone who wants to be
included, the most motivated employees are given a voice to accurately assess the current culture and utilize their knowledge and expertise to develop methods of change. Influential members of the organization must be empowered to lead from every level to “tip the scales” towards the new, desired cultural elements. Top administrators must relearn their role within the organization to empower frontline leadership and share decision-making capabilities.

3. **Comprehensive Approaches**

Approaches to culture change need to be comprehensive. Much of the literature reviewed focused narrowly on a few elements of culture and failed to follow-up on the systematic integration of changes over time. The NACCHO’s acknowledgment of the “Human” and “Process” sides of change address the complexity of systematic change. Culture change initiatives should be far-reaching, technically challenging, multidisciplinary, and actionable to be transformational (Carleton, 2015).

4. **Consistent Alignment and Persistent Promotion of Culture**

Finally, while stimulating change is challenging, once it occurs it must be embedded into the culture to sustain. Systematic integration of all types of change requires state and local health departments to embrace constant change. Alignment, promotion, and reinforcement of new changes should eventually permeate all elements of culture: artifacts, norms, values, and assumptions. Learning cultures that adopt cultures of continuous process improvement should reinforce creativity and innovation to reflect the changing needs of the external market.

**Strengths and Limitations**

The strength of findings included a wide range of data based on empirical evidence, observations, interviews, and theoretical exploration. Despite the widespread application of many strategies, results consistently supported transitions away from the traditional, hierarchal
government structure and towards cultures that facilitate learning, adaptability, transparency, and collaboration across organizational levels.

Limitations arose because organizational culture change is dependent on environmental context and leadership. The diverse group of organizations studied had different purposes and varied workforces. Culture change initiatives must be developed based on specific internal and external environmental contexts. Observational data from case studies were limited to the perspectives of the observers or reflection from participants which could result in confirmation or selection bias. The results from various studies are not easily compared to one another due to a lack of consistent measurement of elements or improvements of culture. International data on governmental organizational culture change efforts were not considered and could offer additional approaches for public health organizational culture change.

Gaps in evidence

More research is needed to determine the ideal organizational culture to maximize the efficiency and effectiveness of state and local health agencies. There are few examples of researchers examining culture change over time. Longitudinal studies could improve the understanding of facilitators or barriers to sustaining culture changes over time. Additional research is needed on the application of organizational change theories in US government organizations. Research needs to go beyond culture change to understand the implication it has for the delivery of government services and health outcomes. While numerous examples have been shared of interventions changing culture or improving outcomes, little evidence has supported intervention to a culture change that has a measured impact on performance, outcomes, or quality improvement in the US government. Additionally, many resources were excluded due to the implementation of change on a smaller scale, like a specific process or
department. Expansion of successful methods throughout the organization could improve the reach and sustainability of improved strategies. Finally, targeted research is needed to determine the impact popular leadership styles (like transformational leadership) or managerial practices (like the Lean Six Sigma process improvement methodologies) have on the organizational culture of public health agencies.

**Conclusion**

US government organizations have been tasked with serving the American people to the best of their ability. State and local health agencies play critical roles in public health assessment, assurance, and delivery of health services. Organizational cultures that value transparency, accountability, learning, and interdepartmental collaboration are better prepared to address the complex needs of the 21st Century. This change can be achieved through tools like the NACCHO Roadmap for Quality Improvement, horizontal integration of agency structures, resilient and transformative participation of members across the agency, and embracing systematic change as a staple of organizational culture through consistent alignment and promotion of new cultural changes.
Culture Change in State and Local Health Agencies: A Practical Guide

Start with NACCHO’s Roadmap to a Culture of Quality Improvement

Your first step to organizational culture change is to examine where you are now. Initial surveys or interviews can help determine the strengths and weaknesses of your culture. NACCHO’s Roadmap to a Culture of Quality Improvement includes assessment tools to identify priorities. Environments lacking trust and accountability might require an external consultant to provide an objective perspective and demonstrate a renewed commitment to transformative change.

Horizontal Integration & Employee Participation

Focus on horizontal integration within your agency. Invite all interested employees to partake in the development, initiation, and execution of the culture change process. Create interdepartmental teams that give voice and decision-making capabilities to frontline staff. Allow employee expertise and motivations to guide the changes. Teach and practice transformative, resilient leadership throughout the organization. Invest in influential, positive employees to “tip the scales” of change.

Comprehensive Approaches

Use NACCHO’s Roadmap to a Culture of Quality and Improvement to frame approaches to the “human” and “process” sides of change. Use the DARPA Hard Test to determine if goals are far-reaching, technically challenging, multidisciplinary, and actionable. Consider goals that have proven to be the focus of previous government culture change including improved trust, transparency, responsibility, accountability, resilience, and establishment of a learning culture that values continuous process improvement.

Consistent Alignment and Persistent Promotion of Culture

Align the visible and abstract elements of organizational culture with your new mission and vision. There should be a long-term plan to overcome resistance to change, measure progress, and adapt to changing internal and external pressures. Training should be provided to teach and maintain new skills. Leaders should provide humility, persistence, enthusiasm, and a willingness to listen to the voices of others. Reinforcement of changes into policy, procedure, norms, and assumptions will allow behavioral changes to be systematically embedded into the organizational culture. Embrace challenge as an opportunity.
Organizational Culture Change in the United States Government and Its Application in State and Local Public Health Agencies: A Literature Review

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Organizational Culture Change in the United States Government and Its Application in State and Local Public Health Agencies: A Literature Review


ORGANIZATIONAL CULTURE CHANGE IN THE UNITED STATES GOVERNMENT AND ITS APPLICATION IN STATE AND LOCAL PUBLIC HEALTH AGENCIES: A LITERATURE REVIEW

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10.1080/15433714.2012.636309


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ORGANIZATIONAL CULTURE CHANGE IN THE UNITED STATES GOVERNMENT AND ITS APPLICATION IN STATE AND LOCAL PUBLIC HEALTH AGENCIES: A LITERATURE REVIEW


Appendix

Search Strategies

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**Scopus Search Strategy**

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**PsycINFO Search Strategy**

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Biography

Kat Barrow is an MPH student with a concentration in administration and policy at the University of Nebraska Medical Center College of Public Health. She has investigated Quality Improvement training and retention efforts among Critical Access Hospitals in Kansas. Her experience working in behavioral health settings and public health studies have inspired an interest in systems-based approaches to mental and behavioral health care. Her primary interests are organizational culture improvement, care coordination, quality improvement, and public health approaches to mental and behavioral health.
Curriculum Vitae
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Education
Master of Public Health, 2019
University of Nebraska Medical Center
Concentration: Administration and Policy

Bachelor of Science in Public Health, 2015
University of Nebraska Omaha

Professional Experience
Graduate Student Intern
Kansas Department of Health and Environment, Topeka, KS
Summer 2019
• Collected and analyzed data to determine Kansas Hospital Association’s Quality 101 program effectiveness; investigated factors contributing to turnover among Quality Improvement staff working in Kansas Critical Access Hospitals

Registered Behavior Health Technician
Munroe-Meyer Institute, University of Nebraska Medical Center, Omaha, NE
2017-2019
• Implemented Applied Behavior Analysis (ABA) programming and behavior protocols to reduce problem behavior and teach new methods of learning for children with autism

Behavioral Health Technician
Catholic Charities, Omaha, NE
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• Implemented individual treatment plans for adolescents with various behavioral disorders such as substance use disorder, post-traumatic stress disorder, and other mental illness

Health Policy Intern
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Summer 2015
• Compiled health policy handbook and procedures for safety and wellbeing of students and staff; developed school health curriculum

Grants
HRSA Region VII Midwestern Public Health Training Center Field Placement
Summer 2019